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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**


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Complete if Known

Application Number	09/005,006
Filing Date	January 9, 1998
First Named Inventor	Shuichi KANNO
Art Unit	1793
Examiner Name	Ngoc Nguyen
Attorney Docket Number	056203.50311US

Sheet 1 of 8

U.S. PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
/NN/	B1	US-	5,468,469	11-21-1995	Tamhankar et al.	
	B2	US-	4,807,016	02-21-1989	Douglas	
	B3	US-	5,417,742	05-23-1995	Tamhankar et al.	
	B4	US-	5,396,022	03-07-1995	Wu et al.	
	B5	US-	5,328,556	07-12-1994	Mallow	
	B6	US-	5,451,378	09-19-1995	Russell et al.	
	B7	US-	5,508,021	04-16-1996	Grinstaff et al.	
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FOREIGN PATENT DOCUMENTS

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		Country Code ⁴ Number ⁴ Kind Code ⁵ (if known)					
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Examiner Signature /Ngoc Yen Nguyen/ (07/20/2008)

Date Considered 07/20/2008

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NON PATENT LITERATURE DOCUMENTS

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/NN/	B8	Rossin Miscellaneous Motion 9, Patent Interference No. 105,402 (McK), Technology Center 1700, August 8, 2006	
	B9	Order re Rossin Miscellaneous Motion 9 (via e-mail from Judge McKelvey), Patent Interference No. 105,402 (McK), Technology Center 1700, August 8, 2006	
	B10	Kanno Reply 1, Patent Interference No. 105,402 (McK), Technology Center 1700, August 11, 2006	
	B11	Kanno Reply 2, Patent Interference No. 105,402 (McK), Technology Center 1700, August 11, 2006	
	B12	Kanno Reply 3, Patent Interference No. 105,402 (McK), Technology Center 1700, August 11, 2006	
	B13	Kanno Reply 4, Patent Interference No. 105,402 (McK), Technology Center 1700, August 11, 2006	
	B14	Kanno Reply 5, Patent Interference No. 105,402 (McK), Technology Center 1700, August 11, 2006	
	B15	Rossin Reply 4, Patent Interference No. 105,402 (McK), Technology Center 1700, August 11, 2006	
	B16	Rossin Reply 8, Patent Interference No. 105,402 (McK), Technology Center 1700, August 11, 2006	
	B17	Kanno Objections to Rossin Exhibits (No. 3), Patent Interference No. 105,402 (McK), Technology Center 1700, August 14, 2006	
	B18	Memorandum Opinion and Order Dismissing as Moot Rossin Miscellaneous Motion 9, Patent Interference No. 105,402 (McK), Technology Center 1600, August 17, 2006	
	B19	Rossin Objections to Kanno Exhibits (No. 3), Patent Interference No. 105,402 (McK), Technology Center 1700, August 18, 2006	
	B20	Notice of Conference, Patent Interference No. 105,402 (McK), Technology Center 1700, September 8, 2006	
✓	B21	Kanno Miscellaneous Motion 5 (to Exclude Evidence), Patent Interference No. 105,402 (McK), Technology Center 1700, September 8, 2006	
/NN/	B22	Kanno Request for Oral Argument, Patent Interference No. 105,402 (McK), Technology Center 1700, September 8, 2006	

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/NN/	B23	Rossin Miscellaneous Motion 10 (to Exclude Kanno Exhibits), Patent Interference No. 105,402 (McK), Technology Center 1700, September 8, 2006	
	B24	Rossin Request for Oral Argument, Patent Interference No. 105,402 (McK), Technology Center 1700, September 8, 2006	
	B25	Order Setting Oral Argument Date, Patent Interference No. 105,402 (McK), Technology Center 1700, September 14, 2006	
	B26	Kanno Opposition 10, Patent Interference No. 105,402 (McK), Technology Center 1700, September 29, 2006	
	B27	Rossin Opposition 6, Patent Interference No. 105,402 (McK), Technology Center 1700, September 29, 2006	
	B28	Kanno Reply 6, Patent Interference No. 105,402 (McK), Technology Center 1700, October 19, 2006	
	B29	Rossin Reply 10, Patent Interference No. 105,402 (McK), Technology Center 1700, October 19, 2006	
	B30	Rossin Submission of Demonstrative Exhibits, Patent Interference No. 105,402 (McK), Technology Center 1700, November 7, 2006	
	B31	Rossin's Amended Designation of Lead and Backup Counsel, Patent Interference No. 105,402 (McK), Technology Center 1700, November 10, 2006	
	B32	Letter to Judge McKelvey from M. Jacobs, Patent Interference No. 105,402 (McK), Technology Center 1700, January 29, 2007	
	B33	Letter to Judge McKelvey from G. Birkenmeier, Patent Interference No. 105,402 (McK), Technology Center 1700, January 29, 2007	
	B34	Memorandum Opinion and Order – Decision on Kanno Motions 3, 4 and 5 and Other Issues, Patent Interference No. 105,402 (McK), Technology Center 1700, January 29, 2007	
	B35	Final Judgment, Patent Interference No. 105,402 (McK), Technology Center 1700, January 29, 2007	
	B36	Redeclaration, Patent Interference No. 105,402 (McK), Technology Center 1700, January 29, 2007	
	B37	Memorandum Opinion BD.R. 125(a), Patent Interference No. 105,402 (McK), Technology Center 1700, January 29, 2007	
/NN/	B38	Memorandum Opinion, Patent Interference No. 105,402 (McK), Technology Center 1700, January 29, 2007	

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Sheet	4	of	8	Attorney Docket Number	056203.50311US

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/NN/	B39	Rossin's Request for Rehearing, Patent Interference No. 105,402 (McK), Technology Center 1700, February 28, 2007	
	B40	Rossin Priority Statement, Patent Interference No. 105,402 (McK), Technology Center 1700, February 28, 2007	
	B41	Order Inviting Response, Patent Interference No. 105,402 (McK), Technology Center 1700, March 1, 2007	
	B42	Kanno Response to Request for Rehearing, Patent Interference No. 105,402 (McK), Technology Center 1700, March 22, 2007	
	B43	Kanno Substantive Motion 2 (Corrected) (to declare U.S. Patent No. 6,673,326 unpatentable), Patent Interference No. 105,402 (McK), Technology Center 1700, August 7, 2006	
	B44	Kanno Substantive Motion 4 (Corrected) (to add Count B), Patent Interference No. 105,402 (McK), Technology Center 1700, August 7, 2006	
	B45	Kanno Substantive Motion 5 (Corrected) (to add Count C), Patent Interference No. 105,402 (McK), Technology Center 1700, August 7, 2006	
	B46	Post Judgment Communication, Patent Interference No. 105,402 (McK), Technology Center 1700, August 20, 2007	
	B47	Notice Granting Request to Keep Agreement Separate from Interference File, Patent Interference No. 105,402 (McK), Technology Center 1700, August 28, 2007	
	B48	Order Denying Rehearing, Patent Interference No. 105,402 (McK), Technology Center 1700, May 8, 2007	
	B49	Memorandum and Order (Decision on Petition Under 37 C.F.R. § 1.304(a)(3)(i), Patent Interference No. 105,402 (McK), Technology Center 1700, July 5, 2007	
	B50	Second Extension of Time for Appeal, Patent Interference No. 105,402 (McK), Technology Center 1700, July 19, 2007	
	B51	Stipulated Extension of Motion Times, Patent Interference No. 105,512 (McK), Technology Center 1700, July 19, 2007	
	B52	Memorandum and Order (Decision on Petition Under 37 C.F.R. § 1.304(a)(3)(i), Patent Interference No. 105,402 (McK), Technology Center 1700, July 20, 2007	
↓	B53	Notice of Filing of Settlement Agreement and Request to Keep Same Separate from Interference Files, Patent Interference No. 105,402 (McK), Technology Center 1700, August 9, 2007	
/NN/	B54	Notice of Filing of Express Abandonment, Patent Interference No. 105,402 (McK), Technology Center 1700, August 15, 2007	

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/NN/	B55	Declaration of Takeshi "Ken" Yasuda, Patent Interference No. 105,402 (McK), Technology Center 1700, July 19, 2006	
	B56	Declaration of Shuichi Kanno (No. 2), Patent Interference No. 105,402 (McK), Technology Center 1700, July 20, 2006	
	B57	Revocation of Power of Attorney and Appointment of New Power of Attorney dated November 15, 2001 filed in U.S. Application Serial No. 09/005,006	
	B58	Regents of the Univ. of Cal. v. Univ. of Iowa Research Found., 455 F.3d 1371 (Fed. Cir. 2006)	
	B59	Kanno Objections to Rossin Exhibits, Patent Interference No. 105,402 (McK), Technology Center 1700, April 28, 2006	
	B60	Kanno Objections to Rossin Exhibits (No. 2), Patent Interference No. 105,402 (McK), Technology Center 1700, June 23, 2006	
	B61	Kanno Objections to Rossin Exhibits (No. 3), Patent Interference No. 105,402 (McK), Technology Center 1700, August 14, 2006	
	B62	PTO-1449 Forms filed in U.S. Application Serial No. 09/005,006	
	B63	Notices of References Cited from U.S. Application Serial No. 09/005,006	
	B64	Kanno List of Exhibits, Patent Interference No. 105,402 (McK), Technology Center 1700, September 8, 2006	
	B65	Rossin Submission of List of Objections, Patent Interference No. 105,402 (McK), Technology Center 1700, April 28, 2006	
	B66	Rossin Objections to Kanno Exhibits (No. 3), Patent Interference No. 105,402 (McK), Technology Center 1700, August 18, 2006	
	B67	CAMBIO et al., "Analysis of Data for Perfluorocompound (PFC) Emission Controls Systems (S69)," http://www.semtech.org/docbase/abstracts/2847aeng.htm , July 6, 1995	
	B68	Letter to G. Birkenmeyer from M. Jones, American Chemical Society, September 22, 2006	
/NN/	B69	Declaration of David Flaxbart, Patent Interference No. 105,402 (McK), Technology Center 1700, September 29, 2006	

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/NN/	B70	Declaration of Susan Rogers, Patent Interference No. 105,402 (McK), Technology Center 1700, September 29, 2006	
	B71	Hitachi High Technologies America, "SCDS PFC Abatement System (The SCDS Advantage)," February 28, 2007	
	B72	Hitachi High Technologies America, "SCDS PFC Abatement System (System Performance)," February 28, 2007	
	B73	Hitachi High Technologies America, "SCDS PFC Abatement System (System Overview)," February 28, 2007	
	B74	Hitachi High Technologies America, "SCDS PFC Abatement System (System Maintenance)," February 28, 2007	
	B75	Rossin Submission of Current List of Exhibits, Patent Interference No. 105,402 (McK), Technology Center 1700, September 8, 2006	
	B76	Rossin Submission of Current List of Exhibits, Patent Interference No. 105,402 (McK), Technology Center 1700, February 28, 2007	
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	B80	Rossin's Designation of Lead and Backup Counsel, Patent Interference No. 105,512 (McK), Technology Center 1700, February 12, 2007	
	B81	Rossin's Designation of the Real Party-in-Interest, Patent Interference No. 105,512 (McK), Technology Center 1700, February 12, 2007	
	B82	Rossin's Notice of Related Proceedings, Patent Interference No. 105,512 (McK), Technology Center 1700, February 12, 2007	
	B83	Rossin's Request for File Copies, Patent Interference No. 105,512 (McK), Technology Center 1700, February 12, 2007	
	B84	Rossin's Submission of a Clean Copy of Claims, Patent Interference No. 105,512 (McK), Technology Center 1700, February 12, 2007	
/NN/	B85	Kanno Designation of Lead and Backup Counsel, Patent Interference No. 105,512 (McK), Technology Center 1700, February 12, 2007	

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	B87	Kanno Notice of Real Party-in-Interest, Patent Interference No. 105,512 (McK), Technology Center 1700, February 12, 2007	
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	B90	Kanno Notice of Related Proceedings (Amended), Patent Interference No. 105,512 (McK), Technology Center 1700, February 13, 2007	
	B91	Kanno List of Proposed Motions, Patent Interference No. 105,512 (McK), Technology Center 1700, March 1, 2007	
	B92	Rossin's Motions List, Patent Interference No. 105,512 (McK), Technology Center 1700, March 1, 2007	
	B93	Order – Motion Times – Bd.R. 104(c), Patent Interference No. 105,512 (McK), Technology Center 1700, March 9, 2007	
	B94	Revised Order – Motion Times – Bd.R. 104(c), Patent Interference No. 105,512 (McK), Technology Center 1700, March 12, 2007	
	B95	Rossin's Uncontested Miscellaneous Motion 1 (Certificate of Correction for Claim 4), Patent Interference No. 105,512 (McK), Technology Center 1700, March 13, 2007	
	B96	Request for Certificate of Correction dated March 13, 2007 filed in U.S. Patent No. 6,673,326	
	B97	Order – Format and Length of Papers, Patent Interference No. 105,512 (McK), Technology Center 1700, March 15, 2007	
	B98	Order Granting Rossin Miscellaneous Motion 1, Patent Interference No. 105,512 (McK), Technology Center 1700, March 15, 2007	
▼	B99	Letter to Judge McKelvey from G. Birkenmeier, Patent Interference No. 105,512 (McK), Technology Center 1700, April 16, 2007	
/NN/	B100	Stipulated Changes to Revised Order – Motion Times, Patent Interference No. 105,512 (McK), Technology Center 1700, May 23, 2007	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	09/005,006
		Filing Date	January 9, 1998
		First Named Inventor	Shuichi KANNO
		Art Unit	1793
		Examiner Name	Ngoc Nguyen
		Attorney Docket Number	056203.50311US
(Use as many sheets as necessary)			
Sheet	8	of	8

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	T ²
/NN/	B101	Stipulated Extension of Time (Time Periods 1-6), Patent Interference No. 105,512 (McK), Technology Center 1700, June 25, 2007	
↓	B102	Notice of Filing of Settlement Agreement and Request to Keep Same Separate from Interference Files, Patent Interference No. 105,512 (McK), Technology Center 1700, August 9, 2007	
	B103	Notice of Filing of Express Abandonment, Patent Interference No. 105,512 (McK), Technology Center 1700, August 15, 2007	
	B104	Judgment, Patent Interference No. 105,512 (McK), Technology Center 1700, August 17, 2007	
/NN/	B105	Notice Granting Request to Keep Agreement Separate from Interference File, Patent Interference No. 105,512 (McK), Technology Center 1700, August 28, 2007	

Examiner Signature	/Ngoc Yen Nguyen/ (07/20/2008)	Date Considered	07/20/2008
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